

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Confirmation No.: 8564

Yutaka NISHIOKA et al.

Group Art Unit: 1792

Application No.: 10/569,470

Examiner: Kelly M. GAMBETTA

Filed: October 20, 2006

Attorney Docket No.: 026390-00034

For: APPARATUS FOR PREPARING OXIDE THIN FILM AND METHOD FOR  
PREPARING THE SAME

**SUBMISSION OF DOCUMENTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

March 24, 2010

Sir:

As requested by the Examiner in charge of the above-identified patent application, enclosed are copies of four references cited in the Information Disclosure Statement filed on October 20, 2006, that have not been considered. For the convenience of the Examiner, a copy of the Information Disclosure Statement filed on October 20, 2006, and a copy of the PTO/SB/08a initialed by the Examiner are also enclosed.

Please charge any fee deficiency or credit any overpayment with respect to this paper to Deposit Account Number 01-2300, referencing Attorney Docket Number 026390-00034.

Respectfully submitted,



Seth Barney  
Registration Number 61,187

Customer Number 004372  
ARENT FOX LLP  
1050 Connecticut Avenue, NW, Suite 400  
Washington, DC 20036-5339  
Telephone: 202-857-6000  
Fax: 202-638-4810

SB:vmh

Enclosures: Information Disclosure Statement filed on October 20, 2006  
PTO/SB/08a initialed by the Examiner  
References (4)

TECH828128

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:  
Yutaka NISHIOKA et al.

Atty. Docket No. 026390-00034

Serial No.: 10/569,470

Examiner: Not Yet Assigned

Filed: February 24, 2006

Art Unit: Not Yet Assigned

For: APPARATUS FOR PREPARING OXIDE THIN FILM AND METHOD FOR  
PREPARING THE SAME

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: October 20, 2006

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached Form PTO-SB08. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

- ☐ c. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☒ 4. The references cited in the attached Form PTO-SB08a were cited in the enclosed International Search Report issued by the Japanese Patent Office in connection with the above international application. It is understood that the International bureau has sent a copy of each of the cited references to the U.S. Patent and Trademark Office. If this is not the case, please contact Applicants' representative at the telephone number listed below.

In the event that any fees are due with respect to this paper, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 01-2300, referencing Atty. Docket No. 026390-00034.

Respectfully submitted,

**SIGNATURE ON ORIGINAL**

---

George E. Oram, Jr.  
Registration No. 27,931

Customer No. 004372  
ARENT FOX PLLC  
1050 Connecticut Avenue, N.W.,  
Suite 400  
Washington, D.C. 20036-5339  
Tel: (202) 857-6000; Fax: (202) 638-4810  
GEO/cdw